

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER
OT-5361

DESIGNATED/ELECTED OFFICE (DO/EO/US) 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. 31 March 2004 PCT/IB2004/000983 TITLE OF INVENTION **ELEVATOR INSPECTION SYSTEM** APPLICANT(S) FOR DO/EO/US Andres Monzon-Simon et al Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. [**√**] The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). \square An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 10. Items 11 to 20 below concern document(s) or information included: 11. 🔽 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13 🔽 A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IAP12 Rec'd PCT/PTO 23 AUG 2006

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER			
10/	<u> </u>	<u> </u>	PCT/IB2004/00098	3	OT-5361	
20. Other items or information: Form PTO-2038						
The following fee	s have be	en submitted	·		CALCULATIONS	PTO USE ONLY
The following fees have been submitted 21. Basic national fee (37 CFR 1.492(a))					\$ 300	
22. 🔽 Examination fe	ee (37 CF					
If the written opinion prepa by IPEA/US indica All other situations	ates all cla	\$ 200				
23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					\$ 400	
TOTAL OF 21, 22 and 23 =					900	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets Extra S	Total Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number)					
15 _{- 100} = 0	/50 =	0		x \$250	\$ 0	<u> </u>
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).						
CLAIMS	NUMBI	ER FILED	NUMBER EXTRA	RATE	\$	
Total claims	8	- 20 =	0	× \$50	\$ O	
Independent claims	3	- 3 =	0	× \$200	\$ O	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360	\$ O	
TOTAL OF ABOVE CALCULATIONS =					\$ 900	
Applicant claims sma	Il entity st					
		\$ 900				
Processing fee of \$130.00 claimed priority date (37.0		\$				
		\$ 900				
Fee for recording the encl by an appropriate cover s	losed assi heet (37 0	\$				
		\$ 900				
					Amount to be refunded:	\$
		* *.			Amount to be charged	\$

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b. Please charge my Deposit Account NoA duplicate copy of this sheet is enclosed.	in the amount of \$ to cover the above fees.					
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NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO:	Que Brign.					
Lisa A. Bongiovi Otis Elevator Company	SIGNATURE O					
10 Farm Springs	NAME					
Farmington, CT 06032	48,933					
Date: August 23, 2006	REGISTRATION NUMBER					

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

I hereby certify that the correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Enclosures:

- 1. Form PTO-2038;
- 2. Transmittal Letter to the United States Designated/Elected Office Concerning a Filing under 35 U.S.C. 371;
- 3. Declaration of Inventorship;
- 4. Preliminary Amendment;
- 5. Information Disclosure Statement;
- 6. International Publication No. WO 2005/095249 A1;
- 7. Form PTO/SB/08A and PTO/SB/08B including references; and
- 8. International Search Report and Written Opinion of the International Searching Authority.